

Audit Attestation for SwissSign AG

Reference: AA2018070304_V2

Your ref.:	Your message from:	Our ref.:	Date:
-	-	TUV TRUST IT/wcl	2018-09-18

To whom it may concern,

This is to repeatedly confirm that TÜV AUSTRIA CERT has successfully audited the CAs of "SwissSign" without critical findings.

This Audit Attestation is an update of Audit Attestation AA2018070304 as of 2018-07-03 in order to document

- the formal completion of the accreditation of TÜV AUSTRIA CERT (see Accreditation section, page 2 of this document)
- the audit period for the SwissSign Silver Root CA - G3 as stated on page 3 of this document as well as
- new contact person of the TSP.

No other statements have been edited compared to the Attestation AA2018070304.

This present Audit Attestation letter is registered under the unique identifier number AA2018070304_V2 and consist of 4 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,



i.A.



i.V.

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Identification of the conformity assessment body (CAB):	<p><i>TÜV AUSTRIA CERT GmbH¹</i> <i>TÜV AUSTRIA-Platz 1, 2345 Brunn am Gebirge,</i> Company registration: Vienna / Wien / FN 288474 b</p> <p>Accreditation Body: Federal Ministry for Digital and Economic Affairs 1010 Wien, Stubenring 1 mailto: akkreditierung@bmdw.gv.at https://www.bmdw.gv.at/</p> <p>Accreditation: The CAB is accredited for the certification of trust services according to DIN EN ISO/IEC 17065 and ETSI EN 319 403. URL to accreditation²: https://www.bmdw.gv.at/TechnikUndVermessung/Akkreditierung/Documents/AA_0943_17065_TUEV_AUSTRIA_CERT_GMBH.pdf</p>
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Identification of the trust service provider (TSP):	<p><i>SwissSign AG</i> <i>Sägereistraße 25</i> <i>CH-8152 Glattbrugg, Schwitterland</i> <i>Contact: Mr. Michael Günther</i> <i>Company registration: CHE-403.679.996,</i> <i>CHE-109.357.012 (SwissSign Ltd.)</i></p>
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Identification of the audited Root-CA:	SwissSign Silver Root CA – G3	
	Distinguished Name	CN = SwissSign Silver Root CA - G3 O = SwissSign AG C = CH
	SHA-256 fingerprint	1e 49 ac 5d c6 9e 86 d0 56 5d a2 c1 30 5c 41 93 30 b0 b7 81 bf ec 50 e5 4a 1b 35 af 7f dd d5 01
	Certificate Serial number	00 aa 88 b0 5a 0b b1 76 9b
	Applied policy	ETSI EN 319 411-1, policies LCP, DVCP

¹ in the following termed shortly „CAB“

² URL to the accreditation certificate hosted by the national accreditation body

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The audit was performed as full annual audit at the TSP's location in Zurich, Switzerland. It took place from May, 14th to May, 17th 2018 and June, 5th to June, 6th 2018 and covered the period from March, 9th 2017 until June, 6th 2018 for all policies. The Root CA and Sub CA addressed do not yet issue certificates to end entities. Despite of that, this audit has been performed as period of time audit at SwissSign covering all other relevant processes and parts of the infrastructure over time for the Root CA and all CA mentioned in the following table. The audit was performed according to the applicable European Standards ETSI EN 319 411-2, V2.2.2 (2018-04), ETSI EN 319 411-1, V1.2.2 (2018-04), ETSI EN 319 401, V2.2.1 (2018-04), CA/B-Forum Requirements: EV SSL Certificate Guidelines, V1.6.8, Baseline Requirements, V1.5.8, under consideration of ETSI EN 319 403, V2.2.2 (2015-08) as guidelines for general Trust Service Provider Conformity Assessment.

The full annual audit was based on the following policy and practice statement documents of the TSP:

1. "SwissSign Silver CP/CPS, Certificate Policy and Certification Practice Statement of the SwissSign Silver CA and its subordinated issuing CA", OID: 2.16.756.1.89.1.3.1.9, Version: 3.4.4 as of June, 28th 2018
2. "SwissSign, PKI Disclosure Statement Certificate Services", OID: 2.16.756.1.89.1.0.6.0.1, Version: 1.0 as of July, 14th 2017
3. "SwissSign, Subscriber Agreement Certificate Services", OID: 2.16.756.1.89.1.0.2.0.2, Version: 1.0 as of July, 14th 2017
4. "SwissSign, Relying Party Agreement", OID: 2.16.756.1.89.1.0.5.0.1, Version: 1.0 as of July, 14th 2017

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked SubCA's that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.

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Identification of the Sub-CA	Distinguished Name	SHA-256 fingerprint	Certificate Serial number	Applied policy OID	Service	EKU	Validy
SwissSign Silver Personal CA 2010 – G3	CN = SwissSign Silver Personal CA 2010 - G3 O = SwissSign AG C = CH	d7 c4 5f 2e e6 ba 7b 13 56 8a ad 75 14 dd 6e 55 e5 7a 13 53 48 37 12 b4 67 79 10 09 61 0b fd ae	00 F9 93 B7 6B 31 11 1C 8E 32 48 AF AC FC EC 60	ETSI EN 319 411-1, policy LCP	Certificate Signing, Off-line CRL Signing, CRL Signing	none	from UTCTime 05/07/2010 12:21:12 GMT to UTCTime 05/07/2025 12:21:12 GMT
SwissSign Silver Server CA 2010 - G3	CN = SwissSign Silver Server CA 2010 - G3 O = SwissSign AG C = CH	9a 47 68 0b 3e 32 54 df a5 94 08 cc e6 4d e2 6a 9d ba 8d 07 d3 42 e5 f8 81 31 84 b8 f6 2d 84 dc	79 71 81 3B 72 2C BC 92 E8 A5 13 00 80 76 99	ETSI EN 319 411-1, policy DVCP	Certificate Signing, Off-line CRL Signing, CRL Signing	none	from UTCTime 05/07/2010 12:22:30 GMT to UTCTime 05/07/2025 12:22:30 GMT

Table 1: Sub-CA's issued by the Root-CA

Modifications record

Version	Issuing Date	Changes
Version 1	2018-07-03	initial attestation
Version 2	2018-09-18	update of initial attestation in order to cover - formal CAB accreditation and - period of time statement for SwissSign Silver Root CA – G3.

End of the audit attestation letter.